

EV372471679

01/05/05

RCE FAX

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

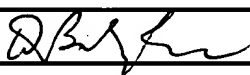
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	09/388,857	
	Filing Date	September 1, 1999	
	First Named Inventor	Luan C. Tran	
	Art Unit	2813	
	Examiner Name	L.M. Schillinger	
Total Number of Pages in This Submission	30	Attorney Docket Number	MI22-878

**ENCLOSURES (Check all that apply)**

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Resubmission of Response to 03/23/04
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	Final OA; Auto Reply Fax from PTO;
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	RCE Transmittal & Fee Transmittal;
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Remarks	Fax confirmation & Pet. for Exten.
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	Charge any additional fees required under 47 CFR 1.16 and 1.17 and credit overages to 23-0925.	

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

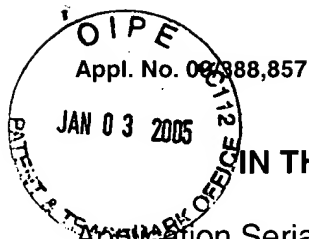
Firm Name	Wells St. John P.S. - Customer No. 021567		
Signature			
Printed name	D. Brent Kenady		
Date	1-3-05	Reg. No.	40,045

**CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature	VIA EXPRESS MAIL		
Typed or printed name		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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EV372471679

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. ....09/388,857  
Filing Date ..... September 1, 1999  
Inventor ..... Luan C. Tran  
Assignee ..... Micron Technology, Inc.  
Group Art Unit ..... 2813  
Examiner ..... L.M. Schillinger  
Attorney's Docket No. ....MI22-878  
Customer No. ....021567

Title: Semiconductor Processing Methods of Forming Transistors, Semiconductor Processing Methods of Forming Dynamic Random Access Memory Circuitry, and Related Integrated Circuitry

**RE-SUBMISSION OF THE PREVIOUSLY SUBMITTED RESPONSE TO THE OFFICE**  
**ACTION DATED MARCH 23, 2004**

To: Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

From: D. Brent Kenady  
Tel. 509-624-4276; Fax 509-838-3424  
Wells St. John P.S.  
601 W. First Avenue, Suite 1300  
Spokane, WA 99201-3828

During a telephonic conference of January 3, 2005, Examiner Laura Schillinger requested that Applicant resubmit a previously and timely filed Response which Applicant provided in reply to the March 23, 2004, Final Office Action.

Please find enclosed a copy of Applicant's Response which was originally filed by facsimile on August 23, 2004. In addition to the Response, please find a copy of the Auto-Reply Facsimile Transmission that was received from the United States Patent and Trademark Office, a copy of the RCE Response transmittal, a copy of our facsimile


confirmation, a copy of the Certification of Facsimile Transmission, a copy of the Fee Transmittal and a copy of the Petition for Extension of Time.

The papers provide evidence that the Response was timely filed on August 23, 2004.

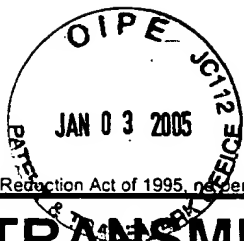
In the event that a fee is found to be due, the Commissioner is hereby authorized to charge such fee to Account No. 23-0925.

Respectfully submitted,

Dated: 1-3-05

By:   
D. Brent Kenady  
Reg. No. 40,045

*Enclosures: Copies of: August 23, 2004 Final Office Action Response; RCE transmittal form; a copy of the Auto-Reply Facsimile Transmission, our facsimile confirmation, the Certification of Facsimile Transmission, the Fee Transmittal and the Petition for Extension of Time.*



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ ) 1,406.00

## Complete if Known

Application Number	09/388,857
Filing Date	September 1, 1999
First Named Inventor	Luan C. Tran
Examiner Name	L. Schillinger
Art Unit	2813
Attorney Docket No.	MI22-878

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 23-0925  
Deposit Account Name: Wells St. John P.S.

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					0

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims: 45 - 33\* = 12 x Fee from below 18 = 216  
Independent Claims: 4 - 4\* = 0 x Fee from below 0 = 0  
Multiple Dependent: 0 x Fee from below 0 = 0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	420
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1401	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	770
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ ) 1,190.00

## SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	D. Brent Kenady	Registration No. (Attorney/Agent)	40,045	Telephone	509-624-4276
Signature		Date	8-23-04		

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## Auto-Reply Facsimile Transmission



TO:

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Fax Information

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Total Pages:

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Received  
Cover  
Page

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AUG-23-2004 13:22

WELLS ST JOHN PS

5098383424 P.01

Practitioner's Docket No. MD22-878

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Luan C. Tran

Application No.: 09/388,857  
Filed: 09/01/99Group No.: 2813  
Examiner: L. Schilling

For: Semiconductor Processing Methods of Forming Transistors, Semiconductor Processing Methods of Forming Dynamic Random Access Memory Circuitry, and Related Integrated Circuitry

Commissioner for Patents  
Washington, D.C. 20231

## CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office at (703) 372-9366 on the date shown below:

Facsimile Transmittal  
Request for Continued Examination (RCE) Transmittal  
Fee Transmittal - in duplicate  
Petition for Extension of Time  
Response to March 23, 2004 Final Office ActionDate August 23, 2004

Robin Saldivia

Signature

Total Pages 28

PLEASE CHARGE DEPOSIT ACCOUNT 23-0925 (Wells St. John P.S.) \$1,406.00 for the above-listed filings.

(Certification of Facsimile Transmission—page 1 of 1)

MODE = MEMORY TRANSMISSION

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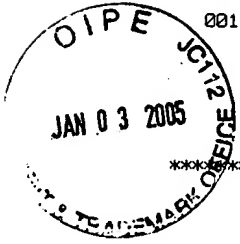
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FILE NO.=454

STN NO.	COMM.	ABBR NO.	STATION NAME/TEL NO.	PAGES	DURATION
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-WELLS ST JOHN PS -

\*\*\*\*\* -5098383424 - \*\*\*\*\* 5098383424- \*\*\*\*\*



Practitioner's Docket No. MI22-878

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Commissioner for Patents  
Washington, D.C. 20231

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Petition for Extension of Time  
Response to March 23, 2004 Final Office Action

August 23, 2004  
Date

Robin Saldivia

  
Signature

Total Pages 28

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PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Luan C. Tran

Application No.: 09/388,857  
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Group No.: 2813  
Examiner: L. Schillinger

For: **Semiconductor Processing Methods of Forming Transistors, Semiconductor Processing Methods of Forming Dynamic Random Access Memory Circuitry, and Related Integrated Circuitry**

Commissioner for Patents  
Washington, D.C. 20231

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August 23, 2004  
Date

Robin Saldivia

[Signature]  
Signature

Total Pages 28

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